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UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 27 2001

 COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/883,995	06/20/2001	Wataru Yamamoto	8215.125

CONFIRMATION NO. 2414

FORMALITIES LETTER



OC000000006414975

 Liniak, Berenato, Longacre & White
 Suite 240
 6550 Rock Spring Drive
 Bethesda, MD 20817

Date Mailed: 08/13/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

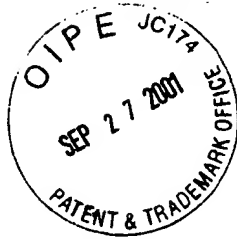
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

10/01/2001 EABUBAK1 00000045 09883995

 01 FC:201
 02 FC:205

 355.00 OP
 65.00 OP



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Wataru YAMAMOTO

Group Art Unit: 1743

Application No.: 09/883,995

Examiner: Unknown

Filed: June 20, 2001

Attorney Dkt. No.: 08215.125

For: CATHODE CARTRIDGE AND ANODE CARTRIDGE OF TESTING DEVICE FOR ELECTROPLATING

RESPONSE TO NOTICE TO FILE MISSING PARTS

Commissioner for Patents
Washington, D.C. 20231
ATTN - BOX MISSING PARTS

September 27, 2001

Sir:

In response to the Notice to File Missing Parts of Application dated August 13, 2001 (copy attached), the following are enclosed:

- ☒ \$65.00 as payment of the small entity surcharge for the Notice to File Missing Parts;
- ☒ \$355.00 as payment for the small entity filing fee;
- ☐ \$_____ as payment for a _____ month extension of time;
- ☒ Originally-executed Declaration/Power of Attorney;
- ☐ Originally-executed Verified Statement Claiming Small Entity Status;
- ☐ Verified Translation of the Application [with a Preliminary Amendment]; and
- ☐ Other.

Applicants(s) are also enclosing the following:

☐ A Information Disclosure Statement with Form PTO-1449, _____ refs. [and a search report];

☐ A Submission of Priority Application No. _____ filed _____ along with _____ certified priority document(s);

☒ Originally executed Assignment with Form PTO-1595; and

☒ \$40.00 as payment for the recordation of the Assignment.

The Examiner is respectfully requested to acknowledge that all of the requirements of 35 U.S.C. §111 have been met.

Please charge any fee deficiency or credit any overpayment to Deposit Account No. 50-0548.

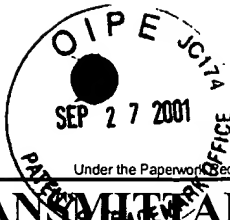
Respectfully submitted,



Matthew Johnston
Registration No. 41,096

Liniak, Berenato, Longacre & White
6550 Rock Spring Drive
Suite 240
Bethesda, Maryland 20817
(301) 896-0600

MJ/ts



Y. S. Octor

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/883,995
Filing Date	June 20, 2001
First Named Inventor	YAMAMOTO
Examiner Name	Unknown
Group Art Unit	1743
Attorney Docket Number	8215.125

Total amount of payment

\$460.00

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0548

Deposit Account Name Liniak, Berenato, Longacre & White

- ☒ Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17
☐ Applicant claims small entity status.
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	710	201	355	Utility filing fee	355.00
106	320	206	160	Design filing fee	
107	490	207	245	Plant filing fee	
108	710	208	355	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					\$355.00

2. EXTRA CLAIM FEES

		Extra Claims	Fee from below	Fee Paid
Total Claims				
Independent Claims	3	-20*	\$18.00	0
Multiple Dependent	2	-3*	\$80.00	0
				\$270.00
SUBTOTAL (2)				

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	80	202	40	Independent claims in excess of 3	
104	270	204	135	Multiple dependent claim, if not paid	
108	80	209	40	Reissue independent claims over original patent	
110	18	210	9	Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					\$0.00

* or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	\$65.00
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	390	216	195	Extension for reply within second month	
117	890	217	445	Extension for reply within third month	
118	1,390	218	695	Extension for reply within fourth month	
128	1,890	228	945	Extension for reply within fifth month	
119	310	219	155	Notice of Appeal	
120	310	220	155	Filing a brief in support of an appeal	
121	270	221	135	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive -unavoidable	
141	1,240	241	620	Petition to revive - unintentional	
142	1,240	242	620	Utility issue fee (or reissue)	
143	440	243	220	Design issue fee	
144	600	244	300	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Statement	
581	40	481	40	Recording each patent assignment per property (times number of properties)	\$40.00
146	710	246	355	Filing a submission after final rejection (37 CFR 1.129(a))	
149	710	249	355	For each additional invention to be examined (37 CFR 1.129(b))	
179	710	279	355	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify):					
Other fee (specify):					
Other fee (specify):					
SUBTOTAL (3)					\$105.00

* Reduced by Basic Filing Fee Paid

Submitted By

Name (Print/Type) Matthew Johnston

Registration No.: 41,096

Telephone 301-896-0600

Signature: *Matthew Johnston*

Date: September 27, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.